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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/817,981	03/27/2001	Walid S. Ibrahim Ali	US 010013	9871
24737	7590	02/27/2004	EXAMINER	
PHILIPS INTELLECTUAL PROPERTY & STANDARDS			EDWARDS, PATRICK L	
P.O. BOX 3001			ART UNIT	PAPER NUMBER
BRIARCLIFF MANOR, NY 10510			2621	7
DATE MAILED: 02/27/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	09/817,981	ALI ET AL.
	Examiner Patrick L Edwards	Art Unit 2621

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on \_\_\_\_\_.
- 2a) This action is FINAL.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-24 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) All
  - b) Some \*
  - c) None of:
    1. Certified copies of the priority documents have been received.
    2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 3.5.
- 4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) Notice of Informal Patent Application (PTO-152)
- 6) Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

2. Claims 1, 6, 18 and 19 are rejected under 35 U.S.C. 102(a) as being anticipated by Frisch (WO 00/33207).

With regard to claim 6, which is representative of claims 1, 18 and 19, Frisch discloses a system for optimizing a control parameter setting of a video processing algorithm in a chain of video processing algorithms (pg. 2 lines 15-25). Frisch further discloses a genetic algorithm unit comprising a genetic algorithm capable of optimizing a control parameter setting of a video processing algorithm (pg. 13 line 16 - pg 14 line 7 in conjunction with Figures 8 and 9). The genes disclosed in Frisch are analogous to the video processing algorithms as recited in the claim. In addition, a parameter of one of these genes as disclosed in Frisch is analogous to a control parameter setting as recited in the claim.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 2-5, 7-11, 15-17 and 20-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Frisch as applied to claims 1, 6 and 18-19 above, and further in view of Watanabe et al. (USPN

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6,004,015). The arguments as to the relevance of Frisch as applied in paragraph 2 above are incorporated herein.

With regard to claim 7, which is representative of claim 2, Frisch discloses a fitness value that characterizes the video quality of an output video stream (Frisch element 618 of Figure 6). Frisch further discloses providing the fitness value to the genetic algorithm in the genetic algorithm unit (Frisch element 622 of Figure 6). Frisch discloses “receiving” these video output quality based fitness ratings, but does not expressly disclose a unit for “determining” the fitness values.

Watanabe, however, discloses a unit for determining fitness values (Watanabe col. 22 lines 31-38 in conjunction with element 105 of Figure 1). The fitness calculating section disclosed in Watanabe is analogous to the objective quality metric unit as recited in the claim. It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Frisch’s video processing system, which receives the fitness value directly from the user, by dedicating a unit for determining the fitness value as taught by Watanabe. Such a modification would have allowed for a system that automated the determination of fitness values and thereby reduced the user’s burden (Watanabe col. 5 lines 41-50).

With regard to claim 8, which is representative of claims 3 and 20, Frisch further discloses using a fitness value to optimize a control parameter setting (Frisch, elements 622 and 624 of Figure 6).

With regard to claim 9, which is representative of claims 4 and 21, Frisch further discloses a genetic algorithm capable of optimizing a plurality of control parameter settings in a chain of video processing algorithms (Frisch, Figure 6). Figure 6 of Frisch shows the optimization of a genotype. A genotype as disclosed in Frisch comprises a plurality of genes, which comprise a plurality of parameters. The genes as disclosed in Frisch are analogous to video processing algorithms as recited in the claim. It follows that a genotype as disclosed in Frisch is analogous to a chain of video processing algorithms as recited in the claim. As a result, optimizing a genotype as disclosed in Frisch is analogous to optimizing a

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plurality of control parameter settings of each of a plurality of video processing algorithms in a chain of video processing algorithms as recited in the claim.

With regard to claim 10, which is representative of claim 5, the further limitations of the claim have been addressed in the above arguments with respect to claims 2 and 7.

With regard to claim 11, which is representative of claim 22, the further limitations of the claim have been addressed in the above arguments with respect to claims 3, 8 and 20.

With regard to claim 13, which is representative of claim 24, Frisch further discloses a peaking parameter (Frisch Figure 17). The contrast parameter disclosed in Frisch is analogous to the peaking parameter as recited in the claim.

With regard to claim 15, Frisch further discloses that candidate solutions that will not provide an improvement in video quality are excluded (Frisch, Figure 6). The images which do not have the highest fitness rating are excluded. These images are analogous to the candidate solutions that will not provide an improvement in video quality.

With regard to claim 16, Frisch further discloses that a limited number of representative candidate solutions likely to provide an improvement in video quality are considered (Frisch, Figure 6). Only the candidate solutions with the highest fitness ratings are considered.

With regard to claim 17, Frisch further discloses deriving candidate solutions from previously existing desirable candidate solutions (Frisch, element 622 of Figure 6).

With regard to claim 14, all of the limitations of the claim have been addressed in the above arguments with respect to claim 11.

5. Claims 12 and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Frisch and Watanabe as applied to claims 11 and 22 above, and further in view of Sims ("Artificial

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Evolution for Computer Graphics"). The arguments as to the relevance of the combination of Frisch and Watanabe as applied in paragraph 4 above are incorporated herein.

With regard to claim 12, which is representative of claim 23, the combination of Frisch and Watanabe fails to expressly disclose the order of the application of the algorithms as a control parameter setting. Sims, however, discloses that the order of the applications changes during mutations (Sims page 9 section 4.2). These different mutations (with differently ordered algorithms) are then evaluated for fitness. As a result, the order of the functions as disclosed in Sims is analogous to a control parameter setting as recited in the claim.

It would have been obvious to one reasonably skilled in the art at the time of the invention to modify Frisch and Watanabe's video processing system to include the order of the application of the video processing algorithms as a control parameter setting as taught by Sims. Such a modification would have allowed for a system with improved quality of output video stream by utilizing an additional parameter.

### *Conclusion*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrick L Edwards whose telephone number is (703) 305-6301. The examiner can normally be reached on 8:30am - 5:00pm M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Leo Boudreau can be reached on (703) 305-4706. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

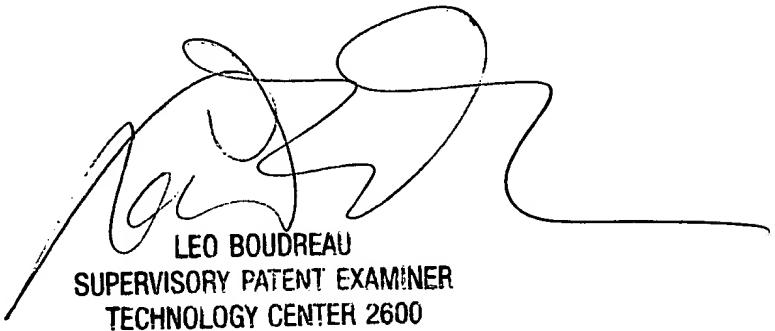
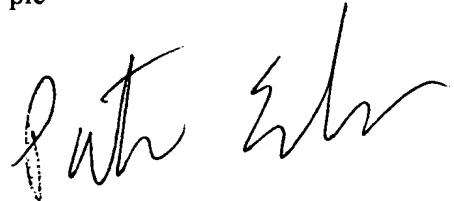
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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Patrick Lynn Edwards

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